

S 3/20

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I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Washington, DC 20231 on March 10, 2003.

Signed: _____

Andrea Won

Facsimile Transmission Cover Sheet

Recipient: Customer Service
Office of Initial Patent Examination
Art Unit 2815
Fax (703) 308 7751

Sender: Dexter Chin
Horizon IP Pte Ltd
Office (65) 9836 9908

Subject Matter: US Patent Application Number: 10/065,254
Response to file Notice of missing parts for Nonprovisional application
Petition to Reset Period for Reply Due to Late Receipt of an Office Action

Total Pages (including cover sheet): 19 pages

Attachments:

- a) Certificate of Transmission
- b) Transmittal Form
- c) Fee Transmittal Form
- d) Petition for Extension of Time Under 37 CFR 1.136(a)
- e) Notice to file missing parts of Nonprovisional application
- f) Response to Notice to file missing parts of Nonprovisional application
- g) Copy of duly executed Oath & Declaration
- h) Petition to Reset Period for Reply Due to Late Receipt of an Office Action
- i) Statement of Facts
- j) Exhibit A
- k) Exhibit B

PTO/CD/07 (00-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify
each submitted paper.

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Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

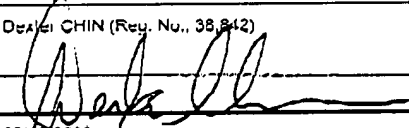
PTO/SB/21 (01-03)


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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/065,254
	Filing Date	09/30/2003
	First Named Inventor	Ewald GUENTHER
	Art Unit	2815
	Examiner Name	Not Assigned Yet
Total Number of Pages In This Submission	10	Attorney Docket Number
		2002P12562US

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks a) A copy of duly executed Oath & Declaration is enclosed. b) Petition to Reset Period for Reply Due to Late Receipt of an Office Action and Statement of Facts are enclosed		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual	Dechen CHIN (Reg. No., 38,842)
Signature	
Date	03/10/2003

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: _____			
Typed or printed	Andrea WON		
Signature		Date	03/10/2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1060.00)

Complete if Known

Application Number	10/065,254
Filing Date	09/30/2002
First Named Inventor	Ewald CUENTHER
Examiner Name	Not Assigned Yet
Art Unit	2815
Attorney Docket No.	2002P12562US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit Account Number
Deposit Account Name

50-2388

Horizon IP Pte Ltd

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayment(s)☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (\$)			

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims -20** = X = Fee Paid

Independent Claims -3** = X = Fee Paid

Multiple Dependent

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	130.00
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 65	Non-English specification	
1812 2,520	2812 2,520	For filing a request for ex parte reexamination	
1004 920*	2004 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	2805 1,840*	Requesting publication of SIR after Examiner action	
1261 110	2261 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	930.00
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	2451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	2460 65	Petitions to the Commissioner	
1807 50	2807 25	Processing fee under 37 CFR 1.17(a)	
1806 180	2806 90	Submission of Information Disclosure Stmt	
8021 40	2821 20	Reexamining each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1803 900	2803 450	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1060.00)

SUBMITTED BY

Name (Print/Type)

Dexter CHIN

Signature

Registration No.

(Attorney/Agent)

38,542

(Complete if applicable)

Telephone +05 98309908

Date

03/10/2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PATENT

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

In re application of)	Examiner:	Not Assigned Yct
Guenther et al)	Art Unit:	2815
Serial No. 10/065,254)	Atty Dkt No.:	2002P12562US
Filed: Sep 30, 2002)	Match:	10, 2003
For: Encapsulation of Electronic Devices with Shaped Spacers)		

CERTIFICATE OF TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, Washington, DC 20231 on March 10, 2003.

Signed.

Andrea Won

COMMISSIONER FOR PATENTS
Washington, D.C. 20231
Attn.: BOX MISSING PARTS

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Sir:

This is a response to the Notice to File Missing Parts of Nonprovisional Application dated **October 10, 2002**, for which a period for response of two (2) months from the date of the said Notice is set to expire **December 10, 2002**. Enclosed herewith are:

1. A copy of the said Notice; and
2. Executed Declaration: Ewald **GUENTHER**, Mark **AUCH** and Soo Jin **CHUA**

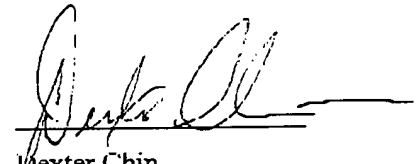
The Commissioner is hereby authorized to charge Deposit Account No.: 50-2388 for a surcharge of \$130.00, in accordance with 37 C.F.R. 1.16 (c). The commissioner is further authorized to charge this account for any other fees which may be due. Issuance of a formal Filing Receipt is respectfully solicited.

10/065,254

Applicants have submitted with this response a petition pursuant MPEP § 710.06 to reset the period for reply due to late receipt of the Notice to File Missing Parts of Nonprovisional Application along with accompanying documents. Applicants respectfully request that any fees paid associated with the petition for extension, should the petition to reset the period for reply be approved, be refunded to Applicants' deposit account.

Dated: March 10, 2003

Respectfully submitted,



Dexter Chin
Attorney for Applicants
Reg. No. 38,842

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